

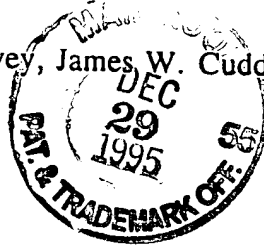
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): John C. Harvey, James W. Cuddihy

Serial No.: Unassigned

Filing Date: Herewith

For: Signal Processing Apparatus and Methods



Group Art Unit: Unassigned

Examiner: Unassigned

#3  
Rose  
3-1-96

**Box PATENT APPLICATION**

Commissioner of Patents and Trademarks  
Washington D.C. 20231

**VERIFIED STATEMENT (DECLARATION)  
CLAIMING SMALL ENTITY STATUS (37 C.F.R. §§ 1.9(f) & 1.27(c))  
SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern identified below who is empowered to act on behalf of the concern:

Name of Small Business Concern: **The Personalized Mass Media Corporation**  
Address: **333 East 57th Street**  
**New York, New York 10022**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **Signal Processing Apparatus and Methods** by inventor(s) **John C. Harvey and James W. Cuddihy**, described in the application being filed herewith.

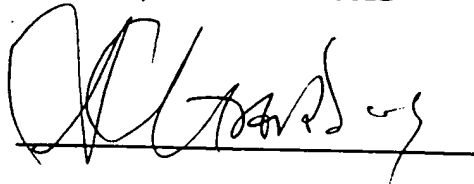
The rights held by the above-identified small business concern are exclusive.

I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of Person Signing: John C. Harvey  
Title: President  
Address: 333 East 57th Street  
New York, New York 10022

SIGNATURE:

A handwritten signature in dark ink, appearing to read "J. C. Harvey", written over a horizontal line.

DATE:

August 28, 1993

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

John C. Harvey and James W. Cuddihy :

Group Art Unit: 2602

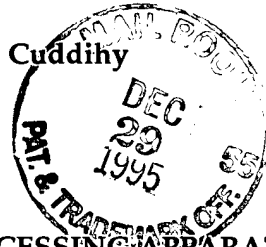
Serial No. 08/452,395 :

Examiner

Filed May 26, 1995 :

Atty Dkt: 5634.065

For **SIGNAL PROCESSING APPARATUS  
AND METHODS**



CHANGE TO SMALL ENTITY STATUS

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

The present application was accorded large entity status. Small entity status is desired for the present application.

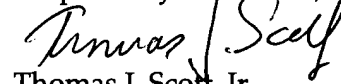
Submitted herewith is the Small Entity Declaration filed with the parent application establishing small entity status.

REMARKS

The Commissioner is hereby authorized to charge Deposit Account No. 08-3038 any insufficient funds in connection with the filing of the instant papers. *This sheet is submitted in triplicate.*

Date: December 29, 1995  
**HOWREY & SIMON**  
1299 Pennsylvania Avenue, NW  
Washington, D.C. 20004  
Tel: (202) 783-0800

Respectfully submitted,

  
Thomas J. Scott, Jr.  
Registration No. 27,836  
Attorney for Applicants